



The empirical distribution of τ from IQWiG reports for the application in Bayesian random-effects meta-analyses



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- Introduction
 - Meta-analysis with very few studies
 - Example
 - Bayesian methods
- Methods
 - Suggestions for prior distributions
 - Meta-analyses from IQWiG reports
- Results
- Interim conclusion
- Outlook
- References

Situation

- **Fixed-effect (FE) model**
 - Assumption: No true heterogeneity
 - Frequently not adequate
- **Random-effects (RE) model**
 - Assumption: True heterogeneity (not too large)
 - Knapp-Hartung (KH) method recommended (Veroniki et al., 2019)
 - Problem: In the case of very few (2-4) studies τ cannot be estimated reliably (Bender et al., 2018)



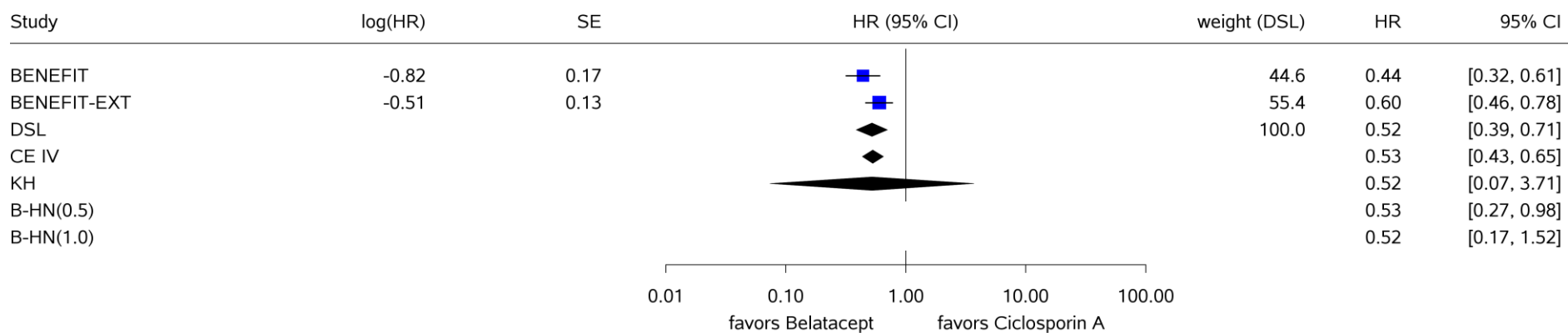
KH method is over-conservative in the case of very few studies

Currently we apply FEM or a qualitative evidence synthesis, but this is circumstantial ...

Belatacept after kidney transplant (2 significant studies)

- Belatacept vs Ciclosporin A for prophylaxis of graft rejection in adults receiving a renal transplant (IQWiG report A15-25)
- Endpoint "renal insufficiency in chronic kidney disease stage 4/5"

Figure 1
Belatacept vs. Ciclosporin A
Renal insufficiency in chronic kidney disease

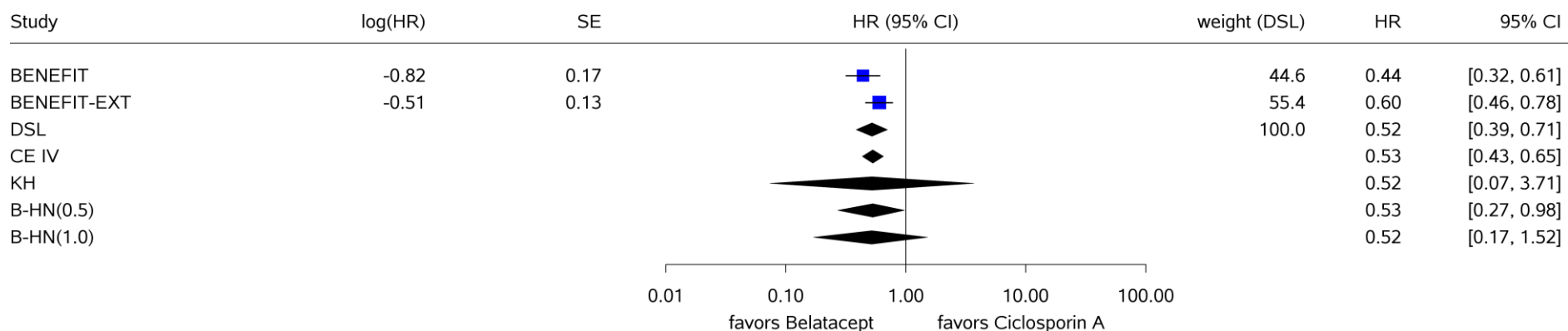


- 1) Knapp-Hartung is over-conservative
- 2) Decision of no significant overall effect is critical

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Figure 1
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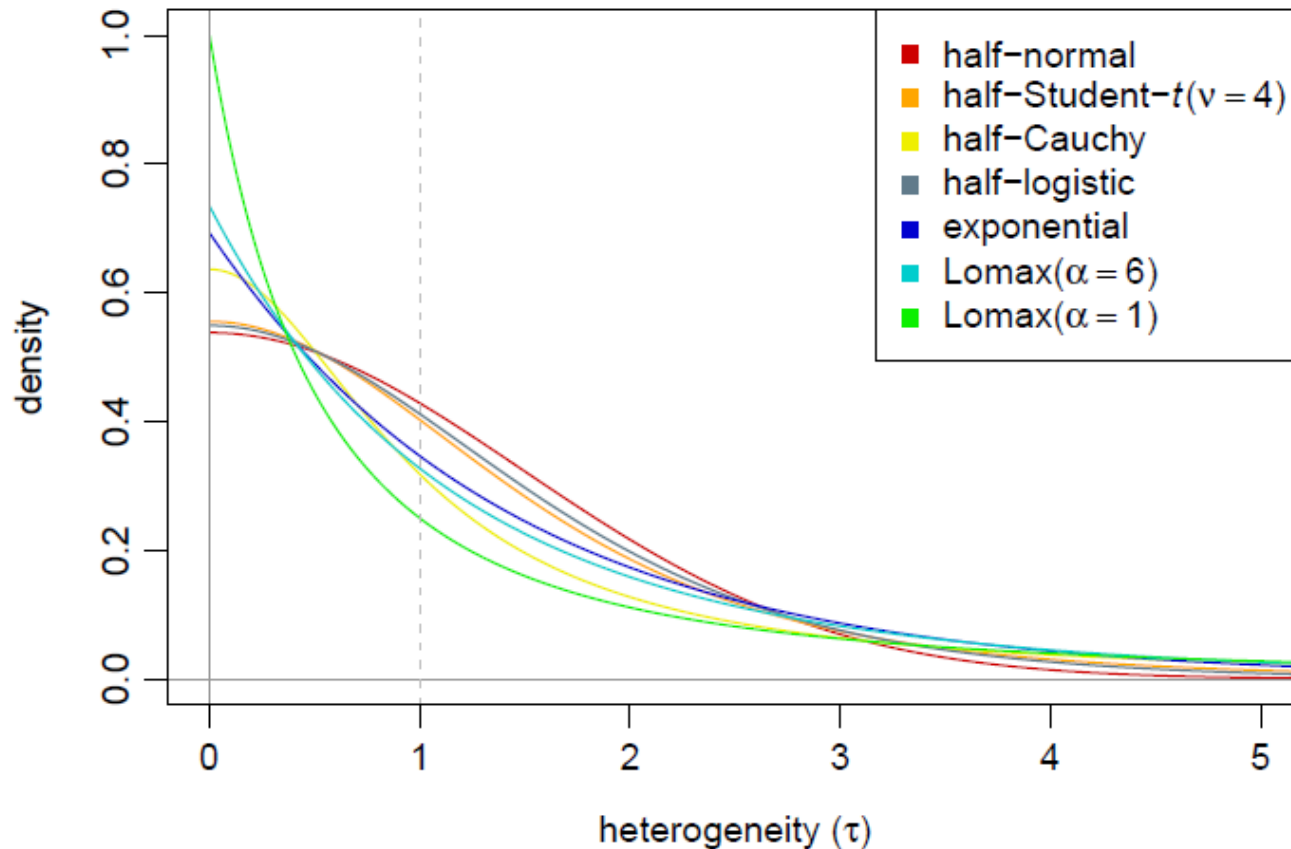
Heterogeneity: $Q=2.06$, $df=1$, $p=0.151$, $I^2=51.5\%$
Overall effect: Z Score=-4.21, $p<0.001$, Tau=0.157



- 1) Bayesian approach = Compromise between DSL and KH
- 2) But the final result depends on the prior distribution

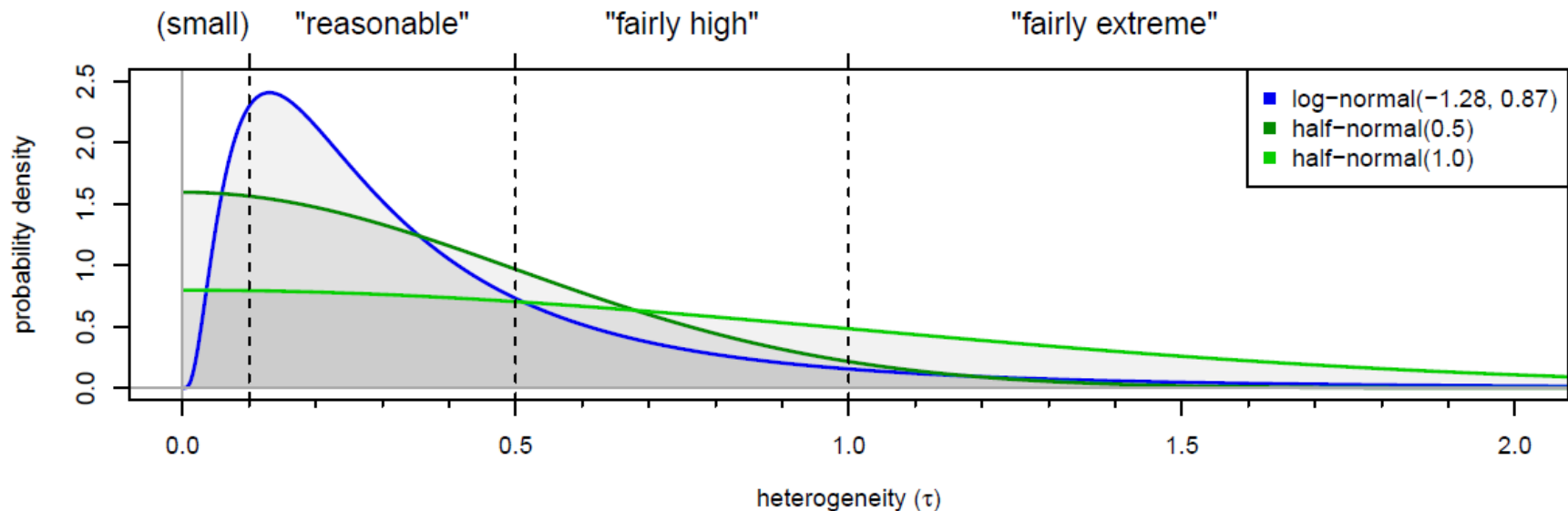
- Bayes: Posterior \propto prior \times likelihood
- Random-effects meta-analysis:
$$y_i = \theta_i + \varepsilon_i, \theta_i = \theta_{RE} + \delta_i$$
$$\varepsilon_i \sim N(0, v_i), \delta_i \sim N(0, \tau^2), Var(y_i) = v_i + \tau^2$$
- $P((\theta_{RE}, \tau^2) | \text{data}) \propto P((\theta_{RE}, \tau^2)) \times P(\text{data} | (\theta_{RE}, \tau^2))$
- For overall mean effect θ_{RE} : Non-informative prior
- For heterogeneity parameter τ : Weakly informative prior to overcome limitations in the case of few studies (Friede et al., 2017; Röver et al., 2021)

- Potential prior distributions for τ :



See Röver et al. (2021)

- For pragmatic reasons we concentrate at first on half-normal distribution (Röver et al., 2021)



Comparison of HN(0.5) and HN(1.0) with the lognormal distribution proposed by Turner et al. (2015)



Which distribution is suitable in the HTA framework?

- Collection of all meta-analyses of IQWiG reports from 2005 to June 2020
- Random-effects meta-analysis by means of Knapp-Hartung (IQWiG, 2020)
- Estimation of τ by means of Paule-Mandel
- Conditions:
 - No meta-analyses for sensitivity/specificity
 - No subgroup analyses
 - No sensitivity analyses
 - Fourfold table available: Calculation of OR and RR
- Histograms to illustrate the empirical distribution of τ
- Comparison with $HN(0.5)$ and $HN(1.0)$

- Data basis:
 - 653 IQWiG reports
 - 118 reports with meta-analyses (forest plot)
 - 1653 meta-analyses
- Effect measures: OR, RR, SMD, (HR)
- In more than 75% of meta-analyses the number of studies is smaller than 5!
- Restrictions:
 - Only estimates of τ larger than zero
 - Only meta-analyses without substantial heterogeneity (Q-test not significant)

Problem:

In about 60% of meta-analyses zero estimates for τ are obtained (similar to others).

Further restriction:

It makes sense to include only meta-analyses where heterogeneity is not too large for a meaningful pooled effect estimation.

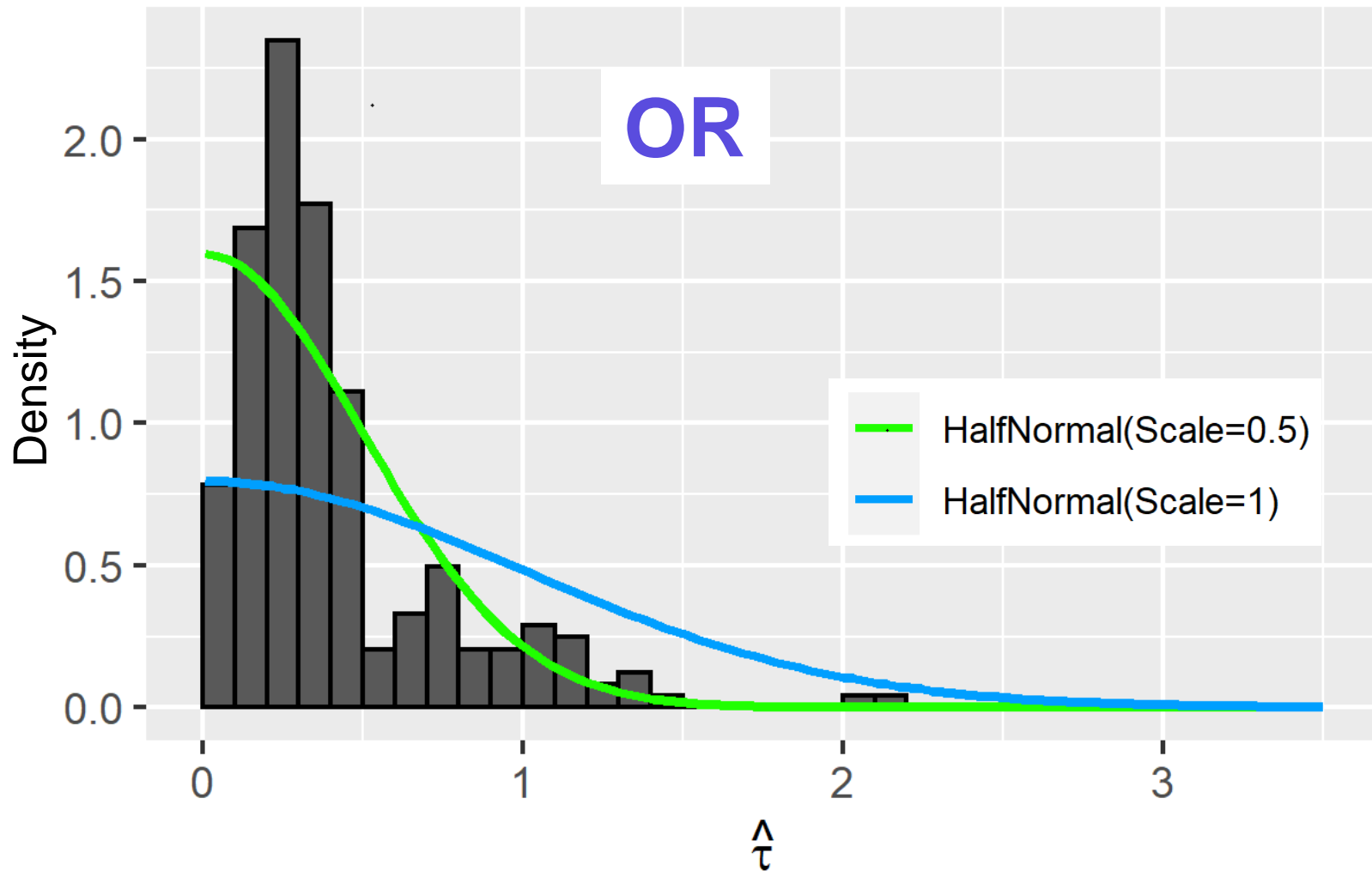
Number of meta-analyses with non-zero estimates for τ and no substantial heterogeneity:

OR: **243** meta-analyses

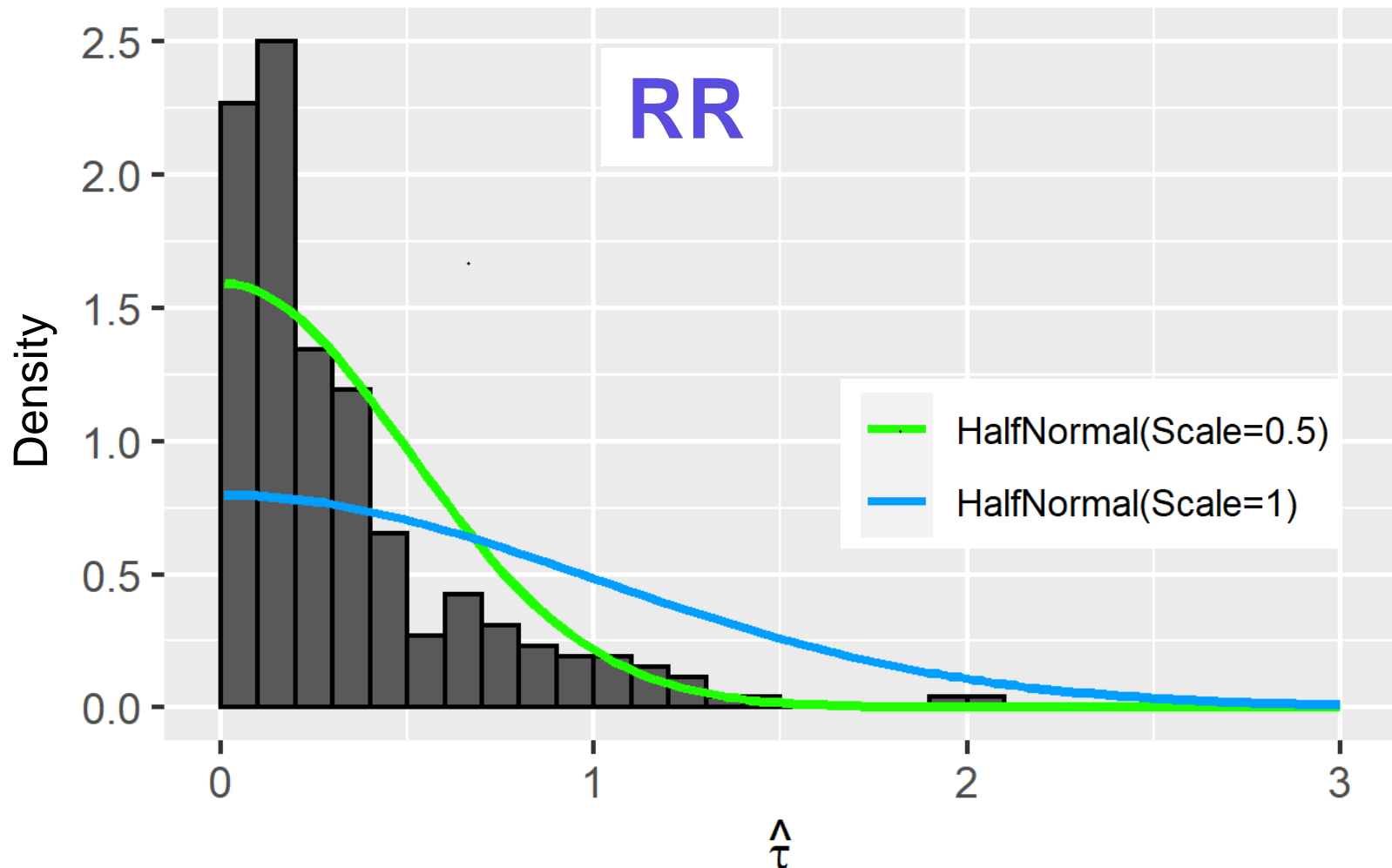
RR: **260** meta-analyses

SMD: **166** meta-analyses

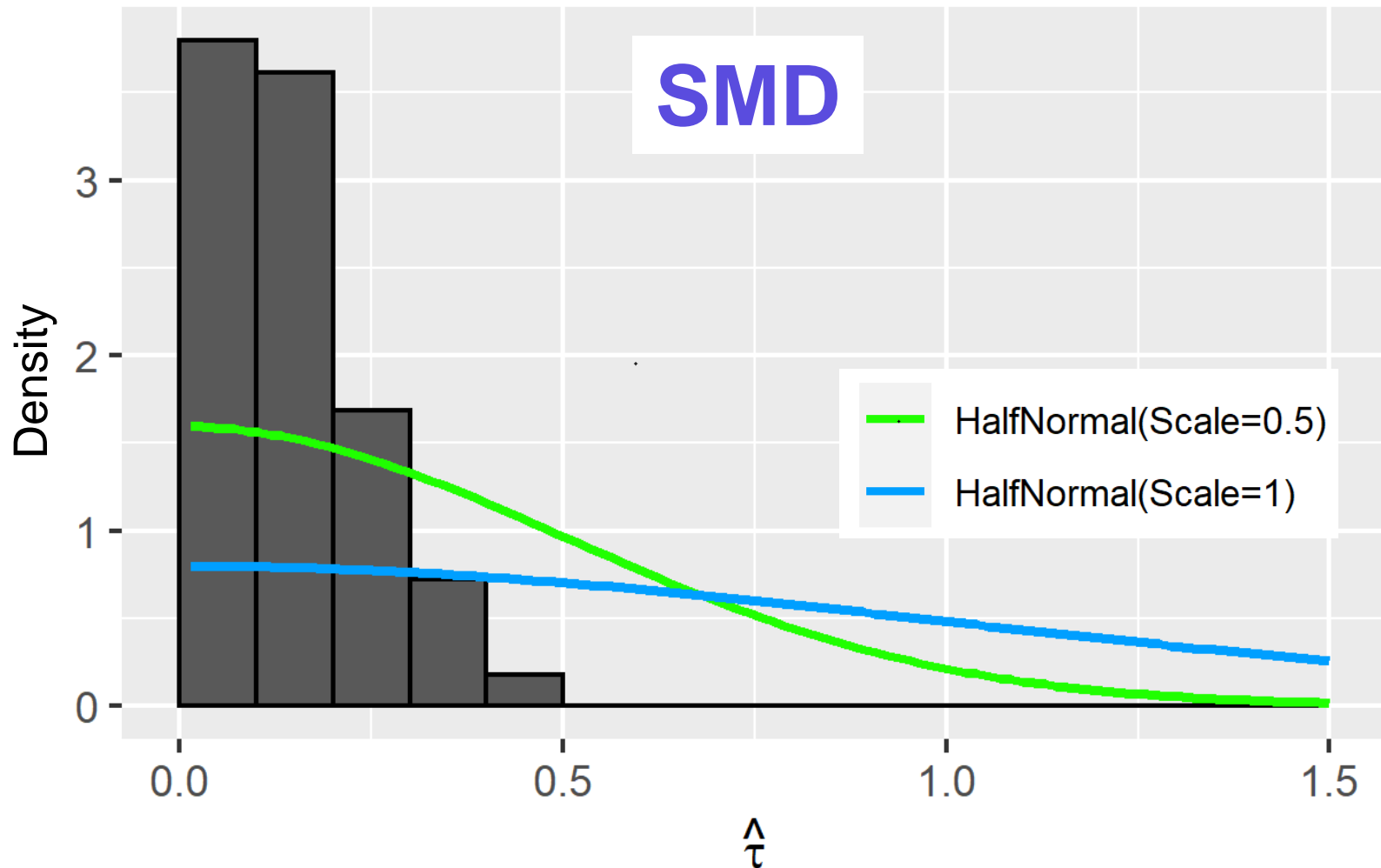
(HR: 21 meta-analyses)



→ **HN(0.5)** distribution seems to be suitable for OR



→ **HN(0.5)** distribution seems to be suitable for RR



→ Distribution with smaller scale than **HN(0.5)** for SMD?

- First results are promising
- **HN(0.5)** seems to be suitable for OR and RR (and HR)
- For SMD a distribution with smaller scale parameter seems to be possible
- Pragmatic approach:
Use of the same prior distribution for all effect measures, e.g., HN(0.5)

- Application of various prior distributions (e.g., $HN(0.5)$, $HN(1.0)$, lognormal, Cauchy) to the IQWiG database of meta-analyses
- Key question:
Can the use of qualitative evidence synthesis be avoided by means of Bayesian meta-analysis?
- **If possible, decision for a suitable standard prior distribution** (together with experts from biometric societies in Germany)
- Application of Bayesian meta-analyses with the chosen standard prior distribution for τ in the case of very few studies in the future

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- Friede, T. et al. (2017): Meta-analysis of few small studies in orphan diseases. *Res. Syn. Methods* **8**, 79-91.
- IQWiG (2020): *General Methods, Version 6.0 of 5 November 2020*. Institute for Quality and Efficiency in Health Care, Cologne.
- Röver, C. et al. (2021): On weakly informative prior distributions for the heterogeneity parameter in Bayesian random-effects meta-analysis. *Res. Syn. Methods* **12** (in press).
- Turner, R.M. et al. (2015): Predictive distributions for between-study heterogeneity and simple methods for their application in Bayesian meta-analysis. *Stat. Med.* **34**, 984-998.
- Veroniki, A.A. et al. (2019): Methods to calculate uncertainty in the estimated overall effect size from a random-effects meta-analysis. *Res. Syn. Methods* **10**, 23-43.